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# The Structure-Function Relationship of PAMAM Dendrimers as Robust Oil Dispersants

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#### ABSTRACT

10 PAMAM dendrimers have recently been investigated as efficient and biocompatible oil 11 dispersants utilizing their encapsulation capacity; however, their high cationic charge density has 12 been shown to be cytotoxic. It is therefore imperative to mitigate cationic charge-induced 13 toxicity and understand the effects of such changes. Presented here is a synergistic experimental 14 and computational approach to examine the effects of varying terminal surface charge on the 15 capacity of dendrimers to disperse model liner, polycyclic aromatic, and hybrid hydrocarbons. 16 Uncharged dendrimers collapse by forming intra-molecular hydrogen bonds, which reduce the 17 hosting capability. On the other hand, changing the surface charges from positive to negative 18 greatly shifts the pKa of tertiary amines of the PAMAM dendrimer interior. As a result, the 19 negatively charged dendrimers have a significant percentage of tertiary amines protonated, 20 ~30%. This unexpected change in interior protonation state cause electrostatic interactions with 21 the anionic terminal groups, leading to contraction and a marked decrease in hydrocarbon 22 hosting capacity. The present work highlights the robust nature of dendrimer oil dispersion and

also illuminates potentially unintended or unanticipated effects of varying dendrimer surface
chemistry on their encapsulation or hosting efficacy, which is important for their environmental,
industrial, and biomedical applications.

26

#### 27 1. INTRODUCTION

Originally proposed by Paul Flory,<sup>1</sup> dendritic polymers are a class of macromolecules consisting 28 29 of highly branched polymer units. Within this class are dendrons, dendrimers, and hyperbranched polymers.<sup>1</sup> Dendrimers can be precisely synthesized with high order and 30 monodispersion, with well defined branching units emanating from a central core.<sup>1</sup> The number 31 32 of these branching iterations is termed the Generation of the dendrimer and determines its size, 33 structure, and function. Hyperbranched polymers, in contrast, possess less well-defined branched 34 interiors, resulting in a higher polydispersity at a much lower production cost. Due to their 35 unique physicochemical properties, there are a wide variety of current and potential applications 36 of dendrimers ranging from environment to energy and biomedicine. For example, hydroxylterminated PAMAM dendrimers have been shown to remove contaminants such as humic acids<sup>2</sup> 37 and metal ions<sup>3,4</sup> from drinking water or contaminated soils. Dendrimers can be used in light-38 39 harvesting applications for superior transduction efficiency in diodes and other photonic devices.<sup>5,6</sup> The surface functionality of PAMAM dendrimers has been altered to include long-40 lifetime ibuprofen release *in vivo*<sup>7</sup> and conjugation with partially anionic folate-conjugates has 41 been explored for the delivery of anti-arthritic drugs.<sup>8</sup> The ability of dendrimers to encapsulate 42 small organic molecules has also been studied in terms of dendrimer generation<sup>9</sup> as well as the 43 shape of a guest molecule,<sup>10</sup> demonstrating a wide array of hosting capabilities of dendrimers in 44 45 aqueous solution.

46 Given their hosting capabilities, we have previously proposed PAMAM polymers as oil 47 dispersants,<sup>11</sup> and showed that cationic PAMAM dendrimers are capable of hosting both polyaromatic and linear hydrocarbons in water.<sup>11</sup> Conventionally, lipid-like oil dispersants have 48 been in use since at least the 1960s<sup>12</sup> and also during the large scale Deepwater Horizon disaster 49 50 of 2010. However, concerns over the potential toxicity of conventional oil dispersants have been recently raised.<sup>13–15</sup> There is a renewed and pressing desire for effective vet biocompatible 51 52 dispersing agents. Our previous work has shown, however, that highly cationic amine-terminated poly(amidoamine) (PAMAM) dendrimers cause acute toxicity in amoebas at a high 53 concentration.<sup>16</sup> Similarly, several other studies have also shown that highly cationic PAMAM 54 dendrimers cause significant charge-induced toxicity in vitro<sup>17-20</sup> and rapid blood clotting in 55 vivo.<sup>21</sup> It has been suggested that the electrostatic interaction between highly cationic PAMAM 56 57 and negatively charged cell membrane results in pore formation to trigger cytotoxicity. 58 Therefore, efforts are increasingly being focused on altering dendrimer terminal charges in order to reduce the toxicity or improve the efficacy of dendrimer agents.<sup>22,23</sup> 59

60 Many studies have been conducted on the size, structure, and dynamics of dendrimers depending on dendrimer generation<sup>24,25</sup> and environmental conditions such as solution pH and 61 ionic strength.<sup>24–28</sup> It has been shown that PAMAM dendrimers adopt globular-like structures 62 63 with the repeating monomers loosely packed in the interior and the surface groups protruding, 64 forming hydrogen bonds with water. Simulations revealed dynamically forming pores in the interior that can bind various guest molecules.<sup>24,29</sup> Solution pH and ionic strength can also affect 65 dendrimer structure by changing the dendrimer protonation states and screening of electrostatic 66 interactions, respectively.<sup>26,27,30</sup> It is not understood, however, how surface modifications of 67

dendrimers, a common strategy in dendrimer design and synthesis, might affect their size,structure, dynamics, and subsequent functionality.

70 Here, we investigate the effects of varying the surface charge and functionality on 71 dendrimers' ability to serve as effective oil dispersants. Specifically, we examine cationic amine-72 terminated (G4-NH<sub>2</sub>), neutral hydroxyl-terminated (G4-OH), and anionic succinamic acid-73 terminated (G4-SA) PAMAM dendrimers (Fig. 1A). Synergistic experiments and molecular 74 dynamics simulations are performed to probe the interactions, limitations, mechanisms, and 75 differences between cationic, and neutrally charged PAMAM dendrimers with linear, 76 polyaromatic, and hybrid hydrocarbons as well as the combination thereof. These various 77 combinations of hydrocarbon are studied in order to gain a more fundamental understanding of 78 dendrimer oil dispersant interactions with the various hydrocarbon components of crude oil as 79 well as illuminate any potential synergistic dispersion effects of hydrocarbon mixtures. The 80 advantages of model hydrocarbons over whole crude oil include the real-time tracking and 81 accurate quantification for mechanistic studies of the structure-function relationship. Additional 82 studies of dendrimer dispersion efficacy and toxicity with crude oil have been done in a separate 83 work. The implications of this study reach beyond oil dispersion to other biomedical and 84 environmental applications including drug delivery and water purification, noting the differences 85 in dendrimer interactions with aliphatic and aromatic hydrophobic molecules as well as 86 potentially unanticipated effects of altering dendrimer surface functionality. We find that marked 87 differences in hosting capacity for hydrocarbons arise from changes in both the structure and 88 dynamics of the dendrimers with varying terminal functionality.

89

#### 90 2. EXPERIMENTAL

91 **2.1 Materials and Characterization**. All dendrimers were purchased from Dendritech, Inc. and 92 were PAMAM G4.0 (generation four) in water solvent and stored at 4°C. Phenanthrene (PN) and 93 octadecylbenzene (ODB) were purchased from Sigma-Aldrich, hexadecane ( $C_{16}$ ) from Acros 94 Organics and all stored at room temperature. The dendrimer stock solutions were diluted in DI 95 water (18 M $\Omega$  cm) to a final concentration of 15  $\mu$ M, and their pH adjusted to 8.2 to mimic that 96 of seawater using 1M NaOH and 1M HCl. Dynamic light scattering (DLS) and zeta potential 97 characterizations of these prepared stock solutions were carried out on a NanoBrook ZetaPALS. 98

99 **2.2 UV-vis Spectophotometry and Phenanthrene Affinity**. UV-vis spectroscopy absorbance 100 measurements were performed on a temperature-controlled Cary 300 Bio (Thermo Electric 101 Corp.). To normalize the concentration of PN, a known quantity was dissolved in methanol and 102 the intensity of the absorbance peak at 251 nm was measured. This relation was then used to 103 calculate all other PN concentrations. The concentration of dendrimer-associated PN was 104 calculated using Eqn. (1) where  $[PN]_T$  is the total observed concentration of PN in the column 105 and  $[PN]_S$  is the concentration of free PN in solution.

106

$$[D \cdot PN] = [PN]_T - [PN]_S \tag{1}$$

107 A solution of 15  $\mu$ M dendrimers was used as a control in all measurements of PN with 108 dendrimers. Each sample was prepared with 1 mg of PN added to 2 mL of either water or 109 dendrimer stock solution. Samples were bath sonicated for 5 min (Branson) in order to break PN 110 solids and then rotated overnight to reach equilibrium. We then measured the affinity of 111 dendrimers for PN in water as a function of temperature by measuring the absorbance of PN over 112 a temperature range from 20-80°C. The temperature was increased at a rate of 0.1°C/min, and 113 absorbance was measured every 1.0  $\pm$  0.02°C. These measurements were made in triplicate in sealed quartz cuvettes. The apparent association constant K was calculated using Eqn. (2) where[D] is the free dendrimer concentration.

116  $K=[D \cdot PN]/([D][PN]_S)$  (2)

Solutions of PN dissolved in  $C_{16}$  were prepared such that the final solution was 8% PN by weight dissolved in  $C_{16}$ . For sample incubations, 20 µL of this stock was added to 2 mL of either water or dendrimer solution and then rotated for 1 h. This ensured that the same total mass of PN was added as in the pure PN experiments. The same temperature ramp as above was then performed, again by measuring the absorbance of PN at 251 nm.

122

123 **2.3 Fluorescence.** Fluorescence measurements were performed on a temperature-controlled Cary 124 Eclipse fluorometer (Thermo Electric Corp.). ODB-doped  $C_{16}$  stock was prepared such that the 125 hydrocarbon solution was 2.6% ODB by weight. For all measurements with ODB, 65 µL of 126 stock solution was added to 2 mL of water or dendrimer solution and then rotated gently for 1 h. 127 It was then allowed to settle, and solution was pulled from the middle of each tube to avoid 128 phase-separated oil. Then 20 µL of stock ODB-doped C<sub>16</sub> was added to each cuvette to ensure a 129 consistent excess of available hydrocarbons. The fluorescence emission was observed at both 130 wavelengths of 290 nm and 299 nm, with an excitation wavelength of 258 nm in both cases. The 131 fluorescence emission intensities were recorded every  $1.0 \pm 0.02$ °C in the same temperature ramp 132 as described in Section 2.2.

133

134 2.4 DMD Simulations. Discrete molecular dynamics (DMD) is a special type of molecular 135 dynamics algorithm, featuring rapid dynamics sampling efficiency. The detailed algorithm and 136 force field parameterization of DMD can be found elsewhere.<sup>31</sup> We used a united atom

137 representation to model the molecular system, explicitly modeling all polar hydrogen and heavy 138 atoms and with implicit solvent. Inter-atomic interactions were modeled by a physical force field adapted from Medusa,<sup>32,33</sup> which included Van der Waals (VDW), solvation, electrostatic and 139 140 hydrogen bond interactions. The force field parameters for VDW interactions, bond length, angle 141 and dihedral angles were taken from CHARMM 19. The solvation energy was included using the 142 Lazaridis-Karplus implicit solvent model. The distance and angular dependant hydrogen bond interaction was modeled using a reaction-like algorithm.<sup>32</sup> We used the Debye-Hückel 143 144 approximation to model the screened electrostatic interactions between charged atoms. The 145 Debye length was approximately 10 Å by assuming water relative permittivity of 80, and a 146 monovalent electrolyte concentration of 0.1 mM.

147 The starting structures of dendrimers were generated by constructing the idealized 3-148 dimensional dendrimer structure consisting of a core, branching units, and terminal groups, 149 followed by equilibration and energy minimization. To emulate a solution pH of 8.2, all G4-NH<sub>2</sub> 150 and G4-SA terminal groups were charged (protonated and deprotonated, respectively). All 151 tertiary amines in G4-NH<sub>2</sub> and G4-OH were deprotonated and therefore uncharged. To model the 152 partial protonation of tertiary amines in the presence of acid terminal groups, the protonation 153 state of the interior tertiary amines of G4-SA was varied, where 0, 10, 20, or 30% of randomly 154 selected tertiary amines were protonated. In our simulations, the net charges of the molecular 155 systems were maintained zero by adding offsetting charges, such as chloride (Cl<sup>-</sup>) and sodium 156  $(Na^{+})$  ions. After the initialization of dendrimer structures, energy minimization using DMD was 157 carried out for 10,000 time steps (approximately 10 ns) before carrying out further equilibrium 158 simulations.

159 In DMD simulations, temperature is in the unit of kcals/mol· $k_B$ , where  $k_B$  is the Boltzmann 160 constant. Our simulations were conducted for a temperature range of 0.55-0.75 kcal/mol·k<sub>B</sub>, corresponding approximately to 275-375 K. The Anderson's thermostat<sup>34</sup> was used to perform 161 162 constant temperature simulations. At each temperature, energy minimization was first carried out 163 for 10 ns and the simulations were conducted for 2 million time steps (approximately 1  $\mu$ s), 164 corresponding to an average of approximately 72 CPU hours. We characterized the sizes of all 165 three types of dendrimers by measuring the radius of gyration  $(R_g)$  as a function of temperature. 166 The mean and standard deviation of R<sub>g</sub> were obtained from 8,000 snapshots evenly distributed 167 throughout the final 800 ns of simulation.

168

#### 169 3. RESULTS AND DISCUSSION

170 Distinctive Physicochemical Properties of Dendrimers with Modified Terminal Groups. 171 Generation 4 PAMAM dendrimers of positively (NH<sub>2</sub>), negatively (SA), and neutrally (OH) 172 charged functional groups, all at pH 8.2, were first incubated with PN. We measured the 173 concentration of saturated PN in water and in dendrimer solution with an excess of PN (see Eqn. 174 (1), section 2.2 in Methods; Fig. S1), and computed the concentration of dendrimer-associated 175 PN [D·PN]. We determined the [D·PN], quantifying the capacity of dendrimer to host PN, as a 176 function of temperature (Fig. 1a). The temperature range of 20-80 °C was chosen to examine the 177 fundamental differences in dendrimer behavior and interactions with hydrocarbons at 178 environmentally relevant temperatures and beyond. Initially, the positively and negatively 179 charged dendrimers have similar hosting capacities, while the neutrally charged dendrimer has 180 lower hosting capacity. As temperature increases in all cases there is an increased hosting of PN 181 by dendrimers, in part due to the increasing availability of PN in solution with increasing

182 temperature. This trend continues until approximately 74°C for positively and neutrally charged 183 dendrimers, at which point the PN hosting capacity reaches a peak followed by a marked 184 decrease. In contrast, negatively charged dendrimers reach their maximum capacity between 65-185 74°C, reaching just 56% the maximum PN hosting of G4-NH<sub>2</sub>.

186 With the measured PN concentrations in water and in dendrimers as well as the 187 concentration of dendrimers in solution, we can calculate the apparent association constants, K 188 (see Eqn. (2), Section 2.2 in Methods) and compute logK as a function of temperature (Fig. 1b). 189 For NH<sub>2</sub> and SA-terminated dendrimers, we observe relatively constant, large apparent 190 association constants at low temperatures. In contrast, the neutral OH-terminated dendrimers had 191 a much lower affinity at low temperature, but this affinity surprisingly increases rapidly with 192 respect to increased temperature and becomes nearly identical to the NH<sub>2</sub>-terminated affinity 193 near 70°C. Both G4-NH<sub>2</sub> and G4-OH dendrimer affinity for PN sharply drop at 74°C, as 194 expected (see Fig. 1a). Despite the more significant and gradual decrease in G4-SA affinity, we 195 note an increase in this rate of decrease at the same 74°C, indicating the temperature at which it 196 becomes thermodynamically more favorable for PN to dissolve in water than to be partitioned 197 inside of the dendrimers, as PN water solubility increases exponentially with temperature over 198 the observed range (Figure S1). Therefore, the changes in dendrimer surface charge result in 199 drastic changes in its hosting capacity of PN and the temperature dependences. However, since 200 PN is non-charged and the binding is not governed by electrostatic interactions, it is intriguing as 201 what the molecular mechanism is for such drastic changes in hydrocarbon hosting capacity upon 202 adjusting the dendrimer surface charges.

We postulated that the changes are mostly in the structure of dendrimer, which in turn affect the hosting function of dendrimer. We first characterized the size and charge properties of

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205 all three types of dendrimers in solution (Table 1) using DLS and PALS zeta potential 206 measurements, respectively (Methods). The DLS results suggest that the dendrimers are fairly 207 monodisperse and tend not to aggregate in DI water. Second, that the OH-terminated dendrimers 208 have smaller hydrodynamic diameters (D<sub>H</sub>) than their charged counterparts. The zeta ( $\zeta$ ) 209 potential quantifies the dendrimer net electrokinetic potential in solution. We find that, indeed, 210 the OH-terminated dendrimers carry nearly zero net charge and the amine-terminated dendrimers 211 are highly positively charged (+30 mV). Interestingly, the SA-terminated, while negatively 212 charged, carry a net charge with significantly smaller magnitude than the amine-terminated. This 213 reduction of overall net charge suggests that some of the interior tertiary amines in SA-214 terminated dendrimers may become protonated at this pH. Assuming electric multilayers similar 215 in nature, the measured differences in zeta potential magnitude suggest the protonation of 216 approximately 30% of G4-SA tertiary amines. Such a significant shift in pKa of the tertiary 217 amine compared to neutral and positively charged dendrimers is feasible in the presence of a large number of terminal acidic groups in the vicinity.<sup>35</sup> These characterizations suggest 218 219 significant physicochemical differences in PAMAM dendrimers caused simply by varying the 220 terminal functionality. Next, we perform molecular dynamics simulations to study the changes of 221 dendrimer size and structure with respect to surface charges at the molecular level.

We performed DMD simulations of all three dendrimer classes (Methods) and measured the radius of gyration ( $R_g$ ) as a function of temperature (Fig. 2a) for each case. For the SAterminated dendrimers, we studied the effect of partial protonation of their tertiary amines, with levels of protonation ranging from 0-30% protonation, where 30% tertiary protonation corresponds to the experimentally observed zeta potential of G4-SA. In DMD simulations, the dendrimer rapidly reaches equilibrium with Rg fluctuating around its average value in a long

228 timescale simulation trajectory (~50 ns; Figure S2). Across the simulated temperature range, the R<sub>g</sub> of G4-NH<sub>2</sub> increases from 19.4 Å to 21.25 Å, in agreement with small angle neutron 229 230 scattering (SANS) experiments as well as atomistic molecular dynamics (MD) and coarsegrained (CG) simulations performed elsewhere (Table 2, Fig. S3).  $^{36-40}$  Because R<sub>g</sub> is an averaged 231 232 single-value measurement, we also calculate the radial density function (RDF) to quantify the 233 internal structure of dendrimers (Figs. S4 & S5). As observed previously in an all-atom MD simulations<sup>41</sup>, we find that lower generations exhibit denser core structures while higher 234 235 generations G4-G5 are more open due to increased electrostatic repulsion between terminal 236 groups (Fig. S4). We also computed the RDF for the G4-NH<sub>2</sub> dendrimers at different pH values. 237 At high pH, the primary amines are fully deprononated, making the dendrimer neutrally charged. 238 At low pH, the tertiary amines are protonated and the dendrimer is fully charged. Our results 239 confirm the expected transition from dense-core at high pH to dense-shell configuration at neutral and low pH as observed in previous all-tom MD simulations (Fig. S5).<sup>39</sup> This validates 240 241 our DMD-derived simulations as efficient and robust for studies of dendrimer structure and dynamics. G4-SA is, across the simulated temperature range, larger than G4-NH<sub>2</sub> due to the 242 slightly longer terminal groups. Their Rg values decrease with increasing tertiary amine 243 244 protonation, and at the lowest tested temperature it reduces from approximately 22.25 Å at 0% 245 protonation to just 20.0 Å once 30% of the interior tertiary amines have been protonated. This 246 size change is because of the electrostatic attraction between these protonated groups and the 247 negatively charged terminal carboxyl groups. This attraction also limits the expansion of G4-SA 248 with temperature: e.g. G4-SA(30%) swells just 1.25 Å compared to a 1.9 Å growth seen in G4- $NH_2$ , resulting in equal  $R_g$  values at the highest temperature in simulations. 249

While amine- and SA-terminated dendrimers have similar sizes across the entire temperature range, G4-OH is clearly smaller than its charged counterparts, expanding from an  $R_g$ of 16.25 to 18.13 Å at the lowest and highest simulation temperatures, respectively. This markedly smaller size is due to the lack of electrostatic repulsion between terminal groups and hydrogen bond formation between the terminal hydroxyl groups, resulting in a much more compact dendrimer structure (e.g. typical snapshot structures in Fig. 2b).

256 These differences in size and how sizes change with temperature in simulations is 257 consistent with the experimentally observed differences in apparent affinity for PN as in Fig. 1. 258 G4-OH has a much lower affinity for PN at low temperatures because, at those temperatures, 259 they are significantly more compact than either G4-SA or -NH<sub>2</sub>, thus reducing the size and 260 accessibility of the interior voids to host PN as illustrated by the dense-core structure of the 261 neutrally charged dendrimer (Fig. S4). As temperature increases, the G4-OH expands with increased Rg by breaking the hydrogen bonds, thereby granting access to its growing interior 262 263 cavities. Our zeta-potential characterization of G4-NH<sub>2</sub> and G4-SA suggests that approximately 264 30% of the G4-SA tertiary amines are protonated (Fig. 2c) assuming tertiary amines in  $G4-NH_2$ are not protonated.<sup>22</sup> This change allows strong electrostatic interaction between terminal groups 265 266 and the protonated tertiary amines, which causes the dendrimer to contract relative to the less 267 protonated G4-SA dendrimers. Such strong electrostatic interaction also noticeably inhibits size 268 expansion with temperature in contrast to the weaker hydrogen bond interaction in G4-OH (Fig. 269 2a). As a result, the G4-SA features a lower host capacity and apparent affinities for PN 270 compared to G4-NH<sub>2</sub>. These differences in swelling behavior highlight why the temperature 271 dependence in PN hosting capacity is different for each dendrimer despite all three 272 functionalizations growing with increasing temperature. Such a dependence on hydrophobic core

273 accessible for hosting small hydrophobic molecules is in agreement with earlier studies by 274 Tomalia *et al* with lipophilic dye encapsulation by dendrimers of various generations.<sup>9</sup> In 275 addition, since the dendrimer volume available for hosting increases rapidly as the cubic power 276 of the size, a small change in  $R_g$  (Fig. 2) leads to large changes in hosting capacity (Fig. 1). It is 277 also important to note that, by charging a fraction of the interior groups, the interior voids 278 become slightly less hydrophobic and thus less favorable for hydrocarbon interactions. Another 279 interesting observation in experiments is the sharp decrease of PN binding at 74°C for all 280 dendrimers (Fig. 1). We hypothesize that this phenomenon is due to the intrinsic structural 281 properties of dendrimer at different temperatures. As the dendrimers expand with increasing 282 temperature (Fig. 2), the cooperative binding with PN due to interactions among amidoamine 283 monomers is reduced. At high temperatures, the binding is dominated by the interaction between 284 PN and amidoamine monomer. Therefore, the transition at 74°C is the result of dissociation of 285 PN from amidoamine monomer to the solution.

286

287 Hosting of Various Classes of Hydrocarbons and Their Mixtures. Having examined the 288 differences between dendrimers of different surface charge, we are now interested in binding 289 between PAMAM dendrimers, using G4-NH<sub>2</sub> as our model, and different hydrocarbons. Amine-290 terminated dendrimers were chosen because they exhibited the strongest binding with 291 hydrocarbons across the tested temperature range, and therefore allowed the best characterization 292 of the differences between PAMAM binding with different classes of hydrocarbons. As crude 293 oils are composed largely of aliphatic hydrocarbons, it is critical to understand dendrimer 294 interactions with such linear hydrocarbons. However, purely aliphatic hydrocarbons are difficult 295 to track quantitatively in solution. To overcome this difficulty, we doped solutions of hexadecane

with octadecylbenzene (ODB, 2.5 w/w%), which is an 18-carbon chain with the addition of a 296 297 benzene ring on one end. The result is a solution with minimal change from a purely aliphatic 298 hydrocarbon mixture but which can be monitored in real time in solution using 299 spectrofluorescence measurements (Figure 3). We characterized the excitation and emission of 300 ODB-doped  $C_{16}$  in various conditions: dissolved in 100% methanol, suspended as an oil-in-water 301 emulsion in DI water, and in a DI solution of 15  $\mu$ M G4-NH<sub>2</sub> dendrimers (Fig. 3a). We note that 302 the emission peak redshifts from 281 to 290 nm when suspended in water compared to in 303 methanol, which we attribute to an increased polarity of the fluorophore environment. The ODB 304 emission further redshifts to 299 nm upon incubation with dendrimers, indicating that a 305 significant fraction of ODB molecules interacted directly with G4-NH<sub>2</sub> rather than simply being 306 suspended in smaller droplets of  $C_{16}$ . We measured the kinetics of this fluorescence over time, 307 monitoring ODB emissions at 290 and 299 nm for pure water and dendrimer solution samples, 308 respectively (Fig. 3b). While the ODB fluorescence in water and with dendrimers began with 309 nearly identical intensity, there was a marked initial decrease in water-suspended intensity, a loss 310 of approximately 30%. This indicates that many of the emulsion droplets in the water 311 suspensions quickly coalesced before the final stable emulsion was achieved. Even after this 312 relatively stable emulsion was formed, there is a slow (1.4%/h) continued coalescence and a 313 resulting phase separation of the oil-in-water emulsion. Such coalescence is not seen in the 314 dendrimer solution over the observed time period, confirming that such suspensions are more 315 stable than the oil-in-water emulsions. Based on this fluorescence measurement, the stable 316 suspensions formed with G4-NH<sub>2</sub> at room temperature accommodate  $57 \pm 4\%$  more ODB-doped 317  $C_{16}$  than the oil-in-water emulsion, highlighting the efficiency of dendrimer as oil dispersants. 318 We also note that the nature of the oil dispersion is different from an oil-in-water emulsion

319 (Inset, Fig. 3b). The oil-in-water emulsion (left) is cloudy due to light scattering by large oil 320 droplets, while the dendrimer-dispersed oil (right) is clear, indicating the presence of much 321 smaller complexes in agreement with previous results that showed the formation of dispersed 322  $C_{16}$ -dendrimer complexes of approximately 200 nm.<sup>11</sup> This further suggests that nearly all 323 suspended hydrocarbons are dendrimer-associated, since we did not observe any oil-in-water 324 droplets that would be expected if dendrimers simply added encapsulated hydrocarbons to an oil-325 in-water emulsion.

326 We also examined the fluorescence behavior in water and dendrimer suspensions as a 327 function of temperature (Fig. 3c), showing normalized fluorescence intensities. Note that the 328 initial drop in water suspension fluorescence intensity is due to the coalescence observed at early 329 times as in Fig. 3b, but not due to the increase in temperature. Therefore, normalization for the 330 water curve was performed after this initial drop in intensity. After this point, the water and 331 dendrimer suspensions are statistically identical and both intensities decrease linearly with 332 increasing temperature. This linear decrease in fluorescence intensity with respect to increasing 333 temperature indicates simple thermal quenching as more rotational and vibrational degrees of 334 freedom are accessible with increasing temperature, which is different from the molecular quenching observed between cationic dyes and PAMAM dendrimers with organic moieties.<sup>42</sup> 335 336 We did not observe any transition as was seen in incubation of pure PN with dendrimers. This is 337 primarily due to the fact that C<sub>16</sub> has near zero water solubility, therefore eliminating the 338 competition with water solvation seen in the case of PN-dendrimer interactions. Because of this 339 lack of competition, the  $C_{16}$ -dendrimer interactions are more stable at high temperatures.

Because crude oil is a combination of aliphatic and aromatic hydrocarbons (among other components), we created a "model crude" by dissolving PN in  $C_{16}$  (8% PN) to investigate the

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342 interaction between G4-NH<sub>2</sub> dendrimers and hydrocarbon mixtures. By measuring the UV 343 absorbance of PN as described above, the quantity of oil suspended in the water column with and 344 without dendrimers was calculated (Figure 4). In contrast to the trend seen when incubated with 345 pure PN, the dendrimer-associated PN remains approximately constant with temperature across 346 the entire tested temperature range. These results suggest that the aliphatic  $C_{16}$  is able to 347 synergistically facilitate stronger, more stable interactions between dendrimers and PN that have 348 little temperature sensitivity. We hypothesize that C<sub>16</sub> accomplishes this by eliminating the PN 349 partition competition from water solvation, serving as a stronger solvent inside the dendrimer 350 interior for PN. By assuming that the ratio of  $PN/C_{16}$  remains constant after interacting with 351 dendrimers, we calculated the total suspended hydrocarbon concentration. The increase in this 352 total hydrocarbon concentration compared to that in water alone is shown by the shaded area, 353 reaching at least 35 µM hydrocarbon compared to ~10 µM of pure PN (Fig. 1a); the total 354 concentration of hydrocarbons with dendrimers in water reached approximately 135 µM. 355 Because of the behavior noted in the ODB- $C_{16}$  study, we expect that virtually all of the 356 suspended PN and C<sub>16</sub> were directly dendrimer-associated, which indicates a strong hosting 357 capacity of at least 9 hydrocarbons per dendrimer. This capacity for suspending hydrocarbons 358 persisted well beyond environmentally relevant temperatures, and indeed even beyond the 359 dissociation temperature for pure PN to break down hydrophobic interaction and pi stacking.

In summary, we have shown that aliphatic, aromatic, and hybrid hydrocarbons bind strongly with G4 PAMAM dendrimers at environmentally relevant temperatures. Mixtures of aliphatic and aromatic hydrocarbons in a model crude are synergistically dispersed by PAMAM dendrimers, reaching a highly stable dispersion of at least 9 hydrocarbon molecules per G4 dendrimer over a wide range of temperatures. At environmentally relevant temperatures (*i.e.* less

365 than approximately 32°C), G4-SA and G4-NH<sub>2</sub> bind much more strongly to hydrocarbons than 366 G4-OH due to this neutral dendrimer collapsing, closing off access to the hydrophobic interior. 367 However, changes in tertiary amine pKa and resulting interior protonation in G4-SA due to the 368 abundance of terminal acidic groups severely limited their hydrocarbon hosting capacities. The 369 dendrimer oil dispersions were also shown to be significantly more stable and contained 57% 370 more hydrocarbon than simple oil-in-water emulsions. These results demonstrate that, when their 371 versatile physicochemical properties are utilized properly, dendrimers are very robust as oil 372 dispersants; we have also illuminated potentially unanticipated or unintended effects of varying 373 dendrimer surface functionality on hosting applications including dispersion but also drug 374 delivery and water purification that usually deal with hydrophobic or charged ligand species. 375 Future work will include studies examining the effects of pH, ionic strength and ions of different 376 valences in solution.

377

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383

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504		

### 505 Table 1: Characterization of PAMAM Dendrimers<sup>a</sup>

Functionality	D <sub>H</sub> (nm)	$\zeta$ (mV)	
NH <sub>2</sub>	$4 \pm 1$	$30.7\pm2.9$	
ОН	$3 \pm 1$	$\textbf{-0.9} \pm 1.0$	
SA	$4 \pm 1$	$-18.5 \pm 2.0$	
a) $D_{\rm H}$ : Hydrodynamic diameter. $\zeta$ :Zeta Potential			

# 508 Table 2: Comparison of $R_g$ in PAMAM dendrimers from various works

	$R_{g}(A)$		
	G3	G4	G5
Liu et al SANS <sup>38</sup>	$16.7 \pm 1.2$	$21.4\pm0.4$	$26.8 \pm 0.4$
Lee & Larson- CG <sup>40</sup>	$13.1\pm0.1$		$23.2\pm0.1$
Liu et al MD <sup>39</sup>	$15.8\pm0.3$	$20.6\pm0.2$	$25.3\pm0.1$
Yang & da Rocha – MD	$15.0\pm0.9$	$21.8\pm0.8$	$23.8\pm0.2$
This Work (300 K)	$15.7\pm0.6$	$20.2\pm0.6$	$25.7\pm0.4$

## 513 Figures

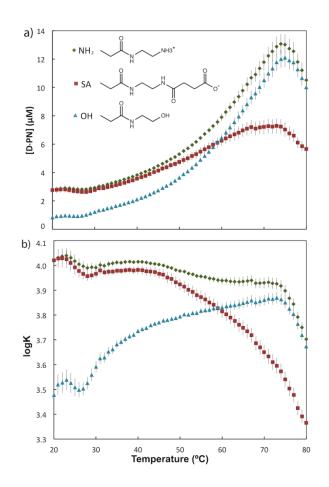
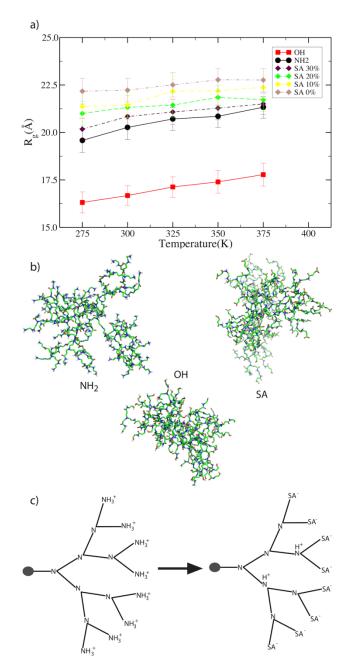


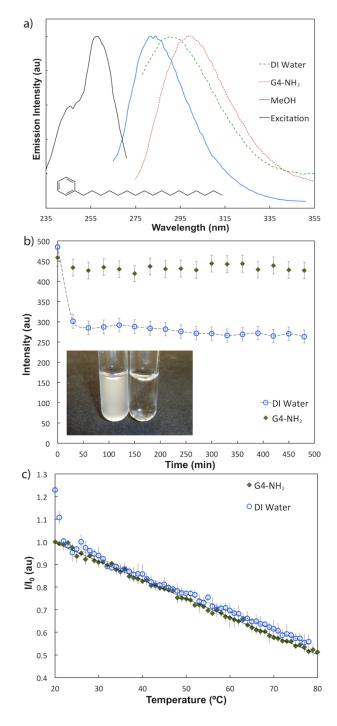


Figure 1. The concentration of dendrimer-associated phenanthrene (a) and the corresponding logK association
constants (b) for G4-NH<sub>2</sub> (green diamonds), G4-SA (red squares), and G4-OH (blue triangles). Error bars are
standard deviations of 3 independent trials. Note clear transition temperatures at 74°C where binding with
phenanthrene becomes much less efficient. The inset in (a) shows the chemical structures of a single terminal chain
of G4- NH<sub>2</sub>, -SA, and -OH from top to bottom.



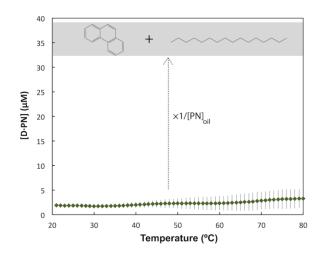
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**Figure 2.** Radius of gyration ( $R_g$ ) for G4-NH<sub>2</sub>, OH, and SA for SA tertiary amine protonation fractions of 0-30% (a). Error bars are standard deviations taken across the 800 snapshots used in  $R_g$  calculations. Representative DMD snapshots of G4 PAMAM dendrimers at room temperature (b), which illustrates the effect of changing terminal group chemistry on the overall structure of the dendrimer. Differences in structure between G4-NH<sub>2</sub> and G4-SA are due to partial protonation of SA tertiary amines (c), with a portion of the dendrimer structure shown schematically emanating from a central core.



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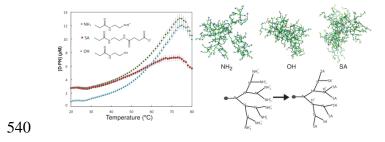
Figure 3. The fluorescence excitation and emission spectra (a) of ODB and the kinetics of this fluorescence at room temperature (b). Inset is a photo of pure water and dendrimer solutions incubated with equal quantities of  $C_{16}$ +ODB. Oil-in-water emulsions are cloudy suspensions (left); dispersion by dendrimers results in a clear suspension (right). Raising the solution temperature causes thermal quenching with and without dendrimers (c), but no loss of binding to dendrimers at high temperatures. Error bars are standard deviations of 3 independent trials.



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**Figure 4.** The concentration of dendrimer-associated PN (green diamonds) as a function of temperature. Shaded region shows the calculated increase in concentration of hydrocarbons due to dendrimers compared to oil-in water emulsion, including both PN and  $C_{16}$ . Error bars are standard deviations of 3 independent trials.

539



541 TOC Image